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RESEARCH ARTICLE

**MUSCLE ACTIVATION DIFFERS BETWEEN PARTIAL AND FULL BACK
SQUAT EXERCISE WITH EXTERNAL LOAD EQUATED**

Running title: EMG of dynamic back squat exercise

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25 **Conflict of interest:** The authors declares that there is no conflict of interest regarding
26 the publication of this paper.

27

28 **ABSTRACT**

29 Changes in range of motion affect the magnitude of the load during the squat exercise
30 and, consequently may influence muscle activation. The purpose of this study was to

31 evaluate muscle activation between the partial and full back squat exercise with external
32 load equated on a relative basis between conditions. Fifteen young, healthy, resistance

33 trained men (age: 26 ± 5 years, height: 173 ± 6 cm) performed a back squat at their 10
34 repetition maximum using two different ranges of motion (partial and full) in a

35 randomized, counterbalanced fashion. Surface electromyography was used to measure
36 muscle activation of the vastus lateralis (VL), vastus medialis (VM), rectus femoris

37 (RF), biceps femoris (BF), semitendinosus (ST), erector spinae (ES), soleus (SL), and
38 gluteus maximus (GM). In general, muscle activity was highest during the partial back

39 squat for GM ($P=0.004$), BF ($P=0.009$), and SL ($P=0.031$) when compared to full.
40 There was no significant difference for RPE between partial and full back squat exercise

41 at 10RM (8 ± 1 and 9 ± 1 , respectively). In conclusion, the range of motion in the back
42 squat alters muscle activation of the prime mover (gluteus maximus), and stabilizers

43 (soleus and biceps femoris) when performed with the load equated on a relative basis.
44 Thus, the partial back squat maximizes the level of muscle activation of the gluteus

45 maximus and associated stabilizer muscles.
46

47 **Keywords:** exercise, strength, performance.

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49

50 INTRODUCTION

51 The squat is an exercise that increases hip and knee extensor muscle strength,
52 which in turn can indirectly improve the performance in athletic and non-athletic
53 populations (34). The squat exercise utilizes muscles with different morphology
54 (monoarticular and biarticular), and the muscle forces also vary depending on joint
55 positions (moment arm, length-tension relationship), whether the muscle acts as a prime
56 mover or stabilizer. Though evidence suggests that architecture, position, and function
57 drive muscle performance during the squat, little is known about the neuromuscular
58 changes that occur from a muscle activation standpoint. Elucidating how muscle
59 activation patterns (monoarticular and biarticular) change during the ankle, knee and hip
60 joint movement during squatting at different knee-joint angles would enhance our
61 understanding of how one could capitalize on maximizing muscle activation, and
62 improve the exercise prescription in the strength and conditioning areas. Considering
63 the squat exercise is a multi-joint task, a large number of muscle groups are
64 simultaneously activated in a complex manner. As a multi-joint exercise, the knee
65 extensors (e.g. rectus femoris, RF; vastus lateralis, VL; and vastus medialis, VM), and
66 hip extensors (e.g. gluteus maximus, GM; biceps femoris, BF; and semitendinosus, ST)
67 are considered to be the prime movers during the squat exercise, with other muscles
68 such as the soleus (SL) and erector spinae (ES) acting in a secondary or stabilizer
69 capacity, respectively (6, 21, 34). Several studies have shown that manipulating features
70 of the squat exercise result in altered muscle activity. These manipulations include
71 changes in foot position (25, 29), barbell position (16), stability of the surface on which
72 the exercise is performed (1, 10, 18, 23, 24), different levels of intensity of load (2),
73 range of motion (2, 6, 20, 32), different equipment (33), and type of contraction
74 (dynamic or isometric) (3, 8, 20).

75 The rationale for this study is based on the assumption that the changes in range
76 of motion during the back squat affect the magnitude of the external load that can be
77 used, which may thus affect muscle activation. The external load parameters have been
78 referred in previous studies as body weight (BW) or %BW (6, 7, 9, 26), number of
79 repetition maximum (RM) (7, 15), and percentage of repetition maximum (%1RM)
80 (32). There is a paucity of research comparing muscle activation patterns during
81 different knee angles with the external load equated by the range of motion of the back
82 squat exercise. Therefore, the purpose of this study was to evaluate the muscle
83 activation between partial and full back squat exercise when performed with the load
84 equated on a relative basis.

85

86 **METHODS**

87 **Experimental Approach to the problem**

88 Our study utilized a randomized and counterbalanced design with repeated
89 measures to evaluate muscle activation between the partial and full back squat exercise
90 with relative external load equated between conditions. All subjects performed a ten
91 repetition maximum (10RM) test equated for each back squat condition (partial and full
92 back squat). The range of motion was determined by an electrogoniometer on the knee
93 joint, and all subjects performed both conditions in a self-selected cadence. Surface
94 electromyography was measured from the vastus lateralis (VL), vastus medialis (VM),
95 rectus femoris (RF), biceps femoris (BF), semitendinosus (ST), erector spinae (ES),
96 soleus (SL), and gluteus maximus (GM). All electromyographic data were defined by
97 the electrogoniometer data, characterizing both the concentric and eccentric phase of
98 each repetition. The rating of perceived exertion (RPE) was evaluated after each back
99 squat condition.

100

101 **Subjects**

102 To establish the appropriate sample size for this study, a pilot study was conducted to
103 collect data on the peak sEMG amplitude of the root mean square (RMS) from the VL
104 in both conditions. Based on a statistical power analysis derived from these data (RMS
105 VL EMG), it was determined that twelve subjects would be necessary to achieve an
106 alpha level of 0.05, an effect size of 1.22, and a power (1- β) of 0.80 (12). Therefore, we
107 recruited fifteen young, healthy, resistance-trained men (age: 26 \pm 5 years, height: 173 \pm 6
108 cm, 10RM test at partial back squat: 92.5 \pm 24.9 kg; 10RM test at full back squat:
109 70.9 \pm 23.2 kg and total body mass: 80 \pm 8 kg, 5 \pm 2 years of experience with the back squat
110 exercise) to participate in the study. Subjects had no previous lower back injury, surgery
111 on the lower extremities, and no history of injury with residual symptoms (pain,
112 “giving-away” sensations) in the lower limbs within the last year. This study was
113 approved by the University research ethics committee and all subjects read and signed
114 an informed consent document (#68/2016).

115

116 **Procedures**

117 Prior to data collection, subjects were asked to identify their preferred leg for
118 kicking a ball, which was then considered their dominant leg (22). All subjects were
119 right-leg dominant, and the dominant leg was chosen to be analyzed during the squat
120 exercise conditions. Tests were randomized and counterbalanced for all subjects and
121 experimental conditions. Subjects reported to have refrained from performing any lower
122 body exercise other than activities of daily living for at least 48 hours prior to testing.
123 Subjects attended two sessions in the laboratory. During the first session, each
124 subject was instructed in the proper back squat technique for both conditions (partial: 0-

125 90° knee flexion, and full: 0-140° knee flexion). After a subsequent 5-min cycle warm-
126 up at 70 rpm, subjects then performed a ten repetition maximum (10RM) test of the
127 back squat to determine the maximum weight that could be lifted for 10 consecutive
128 repetitions at a self-selected cadence for each condition (partial and full back squat). If a
129 10RM was not achieved in the first attempt, the load was adjusted by 4–10 kg and a
130 minimum five-min rest was given before the next attempt. Only three trials were
131 allowed per testing session in order to avoid neuromuscular fatigue. Subjects received
132 standard instructions regarding technique, and exercise execution was monitored and
133 corrected when necessary to ensure no stopping between eccentric and concentric
134 phases for each test. Verbal encouragement was provided to facilitate optimal
135 performance. After the 10RM load was determined for a given condition, 30 minutes of
136 rest was allowed before the 10RM determination of the alternative condition.

137 The second session was conducted 1 week later, and all subjects reported to have
138 refrained from performing any lower body exercise other than activities of daily living
139 for at least 48 hours prior to testing. Subjects warmed-up by cycling for 5-min at 70 rpm
140 and then performed one set of 10RM for each back squat condition (partial and full).
141 The subjects' feet were positioned at hip width and vertically aligned with the barbell
142 position. The barbell was positioned on the shoulders (high-bar position) for all subjects
143 and experimental conditions. A rest period of 30-min was provided between conditions.
144 All measures were performed at the same hour of the day, between 9 and 12 AM, and
145 by the same researcher.

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150 **Measures**

151 ***Surface Electromyography (sEMG):*** The subjects' body hair was shaved at the site of
152 electrode placement and the skin was cleaned with alcohol before affixing the sEMG
153 electrode. Bipolar active disposable dual Ag/AgCl snap electrodes spanning 1-cm in
154 diameter for each circular conductive area with 2-cm center-to-center spacing were used
155 in all trials. Electrodes were placed on the dominant limb along the axes of the muscle
156 fibers according to the SENIAM/ISEKI protocol (17): Gluteus Maximus (GM) at 50%
157 of the distance between the sacral vertebrae and the greater trochanter; vastus lateralis
158 (VL) at 2/3 of the distance between the anterior spina iliac and the superior aspect of the
159 lateral side of the patella; rectus femoris (RF) at 50% on the line from the anterior spina
160 iliac to the superior part of patella; vastus medialis (VM) at 80% on the line between the
161 anterior spina iliac superior and the joint space in front of the anterior border of the
162 medial ligament; biceps femoris (BF) at 50% on the line between the ischial tuberosity
163 and the lateral epicondyle of the tibia; and semitendinosus (ST) at 50% on the line
164 between the ischial tuberosity and the medial epicondyle of the tibia; erector spinae
165 (ES) at 2 finger width lateral from the process spinae of L1; and soleus (SL) at 2/3 of
166 the line between the medial condylis of the femur to the medial malleolus. The sEMG
167 signals were recorded by an electromyographic acquisition system (EMG832C, EMG
168 system Brasil, São José dos Campos, Brazil) with a sampling rate of 2000 Hz using a
169 commercially designed software program (EMG system Brasil, São José dos Campos,
170 Brazil). EMG activity was amplified (bi-polar differential amplifier, input impedance =
171 2M Ω , common mode rejection ratio > 100 dB min (60 Hz), gain x 20, noise > 5 μ V),
172 and converted from an analog to digital signal (12 bit). A ground electrode was placed
173 on the right clavicle.

174 EMG signals collected during all conditions were normalized to a maximum
175 voluntary isometric contraction (MVIC) against a fixed strap resistance. Two trials of
176 five-second MVICs were performed for each muscle with a one-minute rest interval
177 between actions for the dominant leg. The first MVIC was performed to familiarize the
178 participant with the procedure. For GM MVIC, subjects were in the prone position with
179 their knee flexed at 90° and resistance placed on the distal region of the thigh with the
180 pelvis stabilized. For ES MVIC, subjects were in the prone position with resistance
181 placed on the distal region of the trunk. For VL, VM, and RF MVICs, subjects were
182 seated with their knee flexed at 90° and resistance placed on the distal tibia. For BF and
183 ST MVICs, subjects were seated with their knee flexed at 90° and resistance placed on
184 the distal tibia. For SL MVICs, subjects were seated with their knee flexed at 90° and a
185 vertical resistance placed on the distal femur. Verbal encouragement was given during
186 all MVICs. The order of MVICs was counterbalanced to avoid any potential
187 neuromuscular fatigue.

188

189 ***Rating of perceived exertion (RPE):*** RPE (CR-10 scale) was assessed during each back
190 squat set in both conditions (partial, and full). Standard instructions and anchoring
191 procedures were explained during the familiarization session. Subjects were asked to
192 use any number on the scale to rate their overall effort for each condition. A rating of 0
193 was associated with no effort and a rating of 10 was associated with maximal effort and
194 the most stressful exercise ever performed. Subjects were shown the scale 30-min after
195 each condition and asked: “How was your workout?”(13).

196

197

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199 **Data analyses**

200 sEMG data were analyzed with a customized Matlab routine (MathWorks Inc.,
201 Massachusetts, USA). All sEMG data were defined by the electrogoniometer data,
202 characterizing both the concentric and eccentric phase of each repetition. The first
203 repetition was removed from the data to ensure anybody adjustment or change in
204 exercise cadence. The digitized sEMG data were band-pass filtered at 20-400 Hz using
205 a fourth-order Butterworth filter with a zero lag. For muscle activation time domain
206 analysis, RMS (150ms moving window) was calculated during the MVIC and the
207 sEMG data. The sEMG data was then normalized to the RMS average of the two peak
208 MVICs for each amplitude and muscle. The RMS analysis was defined from the
209 average of the first three repetitions for each condition and muscle.

210

211 **Statistical Analyses**

212 The normality and homogeneity of variances within the data were confirmed by
213 the Shapiro-Wilk and Levene's tests, respectively. A 2x8 repeated-measures ANOVA
214 (condition x muscle) was used to measure differences in RMS. Post-hoc comparisons
215 were performed with the *Bonferroni* test. Cohen's formula for effect size (*d*) was
216 calculated, and the results were based on the following criteria: <0.35 trivial effect;
217 0.35-0.80 small effect; 0.80-1.50 moderate effect; and >1.5 large effect, for
218 recreationally trained subjects (31). Interrater reliability was assessed for the researcher
219 who positioned and evaluated RMS tracings for all muscles and conditions. Reliability
220 was operationalized using the following criteria: < 0.4 poor; 0.4 - < 0.75 satisfactory; ≥
221 0.75 excellent. The ICCs ranged between 0.91 and 0.98 (excellent) for all RMS data. An
222 alpha of 5% was used to determine statistical significance.

223

224 **RESULTS**

225 For RMS, there was a significant ($P<0.001$) main effect for muscles and
226 conditions ($P=0.044$). The sEMG activity was significantly greater in the partial
227 compared to full back squat for the GM ($P=0.004$, $d=1.0$, $\Delta\%=29.37$), BF ($P=0.009$,
228 $d=0.22$, $\Delta\%=11.78$), and SL ($P=0.031$, $d=0.27$, $\Delta\%=10.85$) (Figure 1). No significant
229 were noted in any of the other muscles studied

230 There was no significant difference for RPE between partial and full back squat
231 exercise at 10RM (partial: 8 ± 1 and full: 9 ± 1 , $P>0.05$).

232

233 *****INSERT FIGURE NEAR HERE*****

234 **DISCUSSION**

235 The purpose of this study was to evaluate the muscle activation between partial
236 and full back squat exercise when performed with the load equated on a relative basis.
237 The main findings of this investigation were that both partial and full back squat
238 demonstrated a similar overall level of muscle activation of the quadriceps femoris,
239 while a higher muscle activation of the gluteus maximus, biceps femoris and erectors
240 spinae was noted in the partial versus full condition.

241 The squat exercise simultaneously utilizes several muscles with different
242 morphologies (monoarticular and biarticular) in a manner that produces “muscle
243 coordination” (20, 30). A multi-joint task to strengthen the knee and hip extensors is
244 more complex for the neuromuscular system as two joints work in concert to achieve
245 the task (32). Also, since some muscles cross more than one joint, the complexity
246 increases compared to an open chain terminal knee extension or isolated hip extension
247 exercise (32). During the squat exercise, there are several biarticular muscles interacting
248 including the hamstrings and RF (34). Biarticular muscles such as RF, BF and ST have

249 intermediate activation when the muscles have agonistic action at one joint and
250 antagonistic action at the other joint; this is in contrast to the high activation seen when
251 a biarticular muscle works as an agonist for both joints simultaneously (30). Lombard
252 (19) suggested that biarticular muscles of the lower extremity act in a “paradoxical”
253 fashion when the movement is constrained or controlled (named *Lombard’s paradox*), it
254 is observed with RF, BF, and ST. The extension seen from both the hip and knee is the
255 result of the differential moment arms of the two muscles at each joint and range of
256 motion. The present results showed higher muscle activation for BF in the partial back
257 squat when compared to full condition, which may be explained by the fact that it acts
258 as a joint stabilizer at the knee and a prime mover at the hip. Additionally, the partial
259 back squat presents a longer moment arm at the hip and knee exactly in the sticking
260 region, thereby creating a higher hip and knee extensor moment. Thus, the BF muscle
261 allows for the extension of both the knee and hip (32). That said, the absolute activity of
262 the BF was approximately half that of the quadriceps, likely due to the antithetical
263 biarticular actions of the BF during the squat.

264 In comparison to the BF, the RF has a greater moment arm across the knee due
265 to its attachment at the patella, which creates a strong extensor moment at the knee
266 joint. Considering the present results, the RF showed similar muscle activation in both
267 conditions. This may represent a higher effect on muscle activation during the initial
268 phase of the back squat movement (between 20° to 90°) than after 90°, corroborating
269 previous findings by Marchetti et al.(20). Additionally, all muscles may be affected by a
270 sticking point which is considered a poor mechanical force position in which the lengths
271 and mechanical advantages of the muscles involved are such that their capacity to exert
272 force is reduced in this region, and where the lifter experiences difficulty in exerting
273 force against the barbell (11, 35, 37-39). Cardinale et al., (5) displayed that the higher

274 muscle activation during the squat exercise occurs at 90° of knee joint-angle position,
275 which is considered the sticking region.

276 During the squat exercise, several monoarticular muscles contribute to
277 movement including the soleus, vasti (lateralis, medialis and intermedius), and GM
278 (34). The present results showed that muscle activation of the VM and VL did not differ
279 between partial and full back squat condition. Additionally, the highest muscle
280 activation was observed in the partial condition for GM and SL. When monoarticular
281 muscles perform as agonists, their activation generally increases as the joint moment
282 increases (30). Our findings support this theory as all monoarticular muscles analyzed
283 (SL and GM) presented lower values of activation during full back squat. In this
284 specific full position, it is feasible to speculate that changes in muscle length (e.g. GM
285 and SL) modify muscle contractile abilities and, in turn, modify sEMG-force and
286 sEMG-moment relationships (30, 40). Alternatively, afferent signals from muscles
287 could decrease motoneuronal firing frequency (i.e. Golgi tendon reflex) during
288 contractions when the muscle fibers are in an elongated position (14). Similar to our
289 results, Robertson et al., (32) reported that the GM muscle activity level was reduced at
290 maximum full (deep-knee) squat depth. Robertson et al., (32) also concluded that the
291 biarticular muscles (BF, ST, RF) functioned mainly as stabilizers of the knee and hip
292 joints during the eccentric and concentric phases of a dynamic squat. The authors
293 hypothesized that the reduced GM activity level at maximum squat depth was because
294 the GM was not needed to maintain stability or perhaps that it permitted an extra degree
295 of hip flexion that created a deeper counter-movement immediately before the ascent
296 phase.

297

298 The ankle complex helps to maintain support and balance during squat exercise
299 (9, 34). The gastrocnemius has been primarily studied in squat exercise and presents a
300 moderate level of activation (34). On the other hand, the SL is a pure plantar flexor,
301 monoarticular muscle, with an important role mainly in promoting balance in upright
302 tasks. Toutoungi et al. (36) showed that the SL was more active than gastrocnemius at
303 high degrees of knee flexion. The present study observed a lower muscle activation of
304 the SL in the full versus partial condition. This may be due to the fact that a higher SL
305 length caused by the full back squat affects the maintenance of balance (e.g. center of
306 pressure) and consequently interferes with sEMG-forces, sEMG-moment relationships
307 (30, 40) and afferent signals from Golgi tendon reflex.

308 Others have also investigated muscle activation during the squat by comparing
309 different knee joint angles in the dynamic squat. Caterisano et al., (6) measured the
310 relative contributions of GM, BF, VM, and VL muscles of ten experienced lifters while
311 performing dynamic squats at 3 depths (full-depth, the partial, and parallel), using 100–
312 125% of body weight as resistance. Caterisano et al. (6) found that during the concentric
313 phase of the dynamic squat, the GM activation was higher during full-depth (35.4%)
314 compared to the partial (16.9%) and parallel (28.0%) squat exercise and that the BF, the
315 VM, and the VL did not change. The results suggested that the GM, rather than the BF,
316 the VM, or the VL, becomes more active in concentric contraction as squat depth
317 increases, however, the external load was the same in all conditions, affecting the time
318 under tension and the level of muscle activation.

319 On the other hand, Contreras et al. (7) compared the mean and peak
320 electromyography amplitude of the upper gluteus maximus, lower GM, BF, and VL of
321 front, full, and parallel squats at an estimated 10 RM; no significant differences were
322 seen between full, front and parallel squats for all tested muscles. And, Gorsuch et al.,

323 (15) measured the muscle activity during partial and parallel squats at 10 RM. The RF
324 and ES activity were higher during parallel squat than partial squat condition. In the
325 present study, the ES presented high muscle activation during the partial back squat due
326 to the forward trunk inclination aiming to control the center of pressure during the range
327 of motion.

328 Other studies have shown superior muscular hypertrophy when squatting
329 throughout a full versus a partial range of motion (4, 27). The greater cross-sectional
330 area of the muscles found by Bloomquist (4) may be more related to time under tension
331 than the muscle activation. However, without muscle activation data, this remains
332 speculative. Alternatively, the hypertrophic superiority of full squats may be due to
333 training at long muscle lengths, which has been shown to promote greater increases in
334 cross sectional area compared to training at shorter muscle lengths (28). Our study is
335 limited by the inclusion of of healthy, well-trained men only, which therefore precludes
336 the generalizability of our findings to other populations. Our sample size was also fairly
337 small and the study thus may have been underpowered to identify differences between
338 muscles and conditions. Finally, we did not control for hip and knee angles to create a
339 more realistic squat performance.

340

341 PRACTICAL APPLICATION

342 Performing the back squat at different depths with the load equated on a relative
343 basis alters muscles activation of the prime mover (gluteus maximus), and stabilizers
344 (soleus and biceps femoris). The partial back squat generates the highest muscle
345 activation when compared to full back squat. Alternatively, muscle activation of the
346 knee extensors and knee flexors are unaffected by squat depth.

347

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461 **FIGURE LEGEND**

462 **Figure 1.** Mean and standard deviation of RMS EMG in different back squat conditions
463 (partial and full). *Means significantly less between amplitudes, $P < 0.05$.

